

**Chapter 1. The Climate System: an Overview**

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## 1.1 Introduction to the Climate System

### 1.1.1 Climate

#### *Weather and climate*

Weather and climate have a profound influence on life on Earth. They are part of the daily experience of human beings and are essential for health, food production and well-being. Many consider the prospect of human induced climate change as a matter of concern. The IPCC Second Assessment Report (IPCC, 1996) (hereafter SAR) presented scientific evidence that human activities may already be influencing the climate. If one wishes to understand, detect and eventually predict the human influence on climate, one needs to understand the system that determines the climate of the Earth and of the processes that lead to climate change.

In common parlance the notions “weather” and “climate” are loosely defined<sup>1</sup>. The “weather”, as we experience it, is the fluctuating state of the atmosphere around us, characterized by the temperature, wind, precipitation, clouds, and other weather elements. This weather is the result of rapidly developing and decaying weather systems such as midlatitude low and high pressure systems with their associated frontal zones, showers and tropical cyclones. Weather has only limited predictability. Mesoscale convective systems are predictable over a period of hours only; synoptic scale cyclones may be predictable over a period of several days to a week. Beyond a week or two individual weather systems are unpredictable. “Climate” refers to the average weather in terms of the mean and its variability over a certain time span and a certain area. Classical climatology provides a classification and description of the various climate regimes found on Earth. Climate varies from place to place, depending on latitude, distance to the sea, vegetation, presence or absence of mountains or other geographical factors. Climate varies also in time, from season to season, year to year, decade to decade or on much longer time scales, such as the ice ages. Statistically significant variations of the mean state of the climate or of its variability, persisting for typically decades or longer, are referred to as: climate change. The Glossary gives definitions of these important and central notions of “climate variability” and “climate change”.

Climate variations and change, caused by external forcings, may be partly predictable, particularly on the larger, continental and global, spatial scales. Because human activities, such as the emission of greenhouse gases or land use change, do result in external forcing, it is believed that the large scale aspects of human induced climate change are also partly predictable. However the ability to actually do so is limited because we cannot predict accurately population change, economic change, technological development, and other relevant characteristics of future human activity. In practice therefore, one has to rely on carefully constructed scenarios of human behaviour and determine climate projections on the basis of such scenarios.

#### *Climate variables*

The traditional knowledge of weather and climate focuses on those variables that affect daily life most directly: average, maximum and minimum temperature, wind near the surface of the Earth, precipitation in its various forms, humidity, cloud type and amount, and solar radiation. These are the variables observed hourly by a large number of weather stations around the globe.

However this is only part of the reality that determines weather and climate. The growth, movement and decay of weather systems depend also on the vertical structure of the atmosphere, the influence of the underlying land and sea and many other factors not directly experienced by human beings. Climate is determined by the atmospheric circulation and by its interactions with the large scale ocean currents and the land with its features such as albedo, vegetation and soil moisture. The climate of the Earth as a whole depends on factors that influence the radiative balance, such as for example the atmospheric composition, solar radiation or volcanic eruptions. To understand the climate of our planet Earth and its variations and to understand and possibly predict the changes of the climate brought about by human activities, one cannot ignore any of these many factors and components that determine the climate. We must understand the *climate system*, the complicated system consisting of various components, including the dynamics and composition of the atmosphere, the ocean, the ice and snow cover, the land surface and its features,

<sup>1</sup> For a definition of scientific and technical terms used in this Report: see Appendix: Glossary.

1 the many mutual interactions between them, and the large variety of physical, chemical and biological processes  
2 taking place in and among these components. "Climate" in a wider sense refers to the state of the climate system as a  
3 whole, including a statistical description of its variations. This chapter provides the reader with an overview of the  
4 climate system and the climate in this wider sense, and with an introduction to the Report.  
5

### 7 **1.1.2 The Climate System**

#### 9 *Its components*

11 The climate system, as defined in this Report, is an interactive system consisting of five major components: the  
12 atmosphere, the hydrosphere, the cryosphere, the land surface and the biosphere, forced or influenced by various  
13 external forcing mechanisms, the most important of which is the Sun (see Figure 1.1). Also the direct effect of human  
14 activities on the climate system is considered an external forcing  
15

16 [Insert Figure 1.1 here]  
17

18 The *atmosphere* is the most unstable and rapidly changing part of the system. Its composition, which has changed  
19 with the evolution of the Earth, is of central importance to the problem assessed in this Report. The Earth's dry  
20 atmosphere is composed mainly of nitrogen (N<sub>2</sub>, 78.1% volume mixing ratio), oxygen (O<sub>2</sub>, 20.9% volume mixing  
21 ratio, and argon (Ar, 0.93% volume mixing ratio). These gases have only limited interaction with the incoming solar  
22 radiation and they do not interact with the infrared radiation emitted by the Earth. However there are a number of  
23 trace gases, such as carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O) and ozone (O<sub>3</sub>), which do absorb and  
24 emit infrared radiation. These so called greenhouse gases, with a total volume mixing ratio in dry air of less than 0.1%  
25 by volume, play an essential role in the Earth's energy budget. Moreover the atmosphere contains water vapour  
26 (H<sub>2</sub>O), which is also a natural greenhouse gas. Its volume mixing ratio is highly variable, but it is typically in the order  
27 of 1%. Because these greenhouse gases absorb the infrared radiation emitted by the Earth and emit infrared radiation  
28 up- and downward, they tend to raise the temperature near the Earth's surface. Water vapour, CO<sub>2</sub> and O<sub>3</sub> also absorb  
29 solar short-wave radiation.  
30

31 The atmospheric distribution of ozone and its role in the Earth's energy budget is unique. Ozone in the lower part of  
32 the atmosphere, the troposphere and lower stratosphere, acts as a greenhouse gas. Higher up in the stratosphere there  
33 is a natural layer of high ozone concentrations, which absorbs solar ultraviolet radiation. In this way this so called  
34 ozone layer plays an essential role in the stratosphere's radiative balance, at the same time filtering out this potentially  
35 damaging form of radiation.  
36

37 Beside these gases, the atmosphere also contains solid and liquid particles (aerosols) and clouds, which interact with  
38 the incoming and outgoing radiation in a complex and spatially very variable manner. The most variable component  
39 of the atmosphere is water in its various phases such as vapour, cloud droplets, and ice crystals. Water vapour is the  
40 strongest greenhouse gas. For these reasons and because the transition between the various phases absorb and release  
41 much energy, water vapour is very central to the climate and its variability and change.  
42

43 The *hydrosphere* is the component comprising all liquid surface and subterranean water, both fresh water, including  
44 rivers, lakes and aquifers, and saline water of the oceans and seas. Freshwater runoff from the land returning to the  
45 oceans in rivers influences the ocean's composition and circulation. The oceans cover approximately 70% of the  
46 Earth's surface. They store and transport a large amount of energy and dissolve and store great quantities of carbon  
47 dioxide. Their circulation, driven by the wind and by density contrasts caused by salinity and thermal gradients (the so  
48 called thermohaline circulation), is much slower than the atmospheric circulation. Mainly due to the large thermal  
49 inertia of the oceans, they damp vast and strong temperature changes and function as a regulator of the Earth's climate  
50 and as a source of natural climate variability, in particular on the longer time scales.  
51

52 The *cryosphere*, including the ice sheets of Greenland and Antarctica, continental glaciers and snow fields, sea ice and  
53 permafrost, derives its importance to the climate system from its high reflectivity (albedo) for solar radiation, its low  
54 thermal conductivity, its large thermal inertia, and especially, its critical role in driving deep ocean water circulation.

1 Because the ice sheets store a large amount of water, variations in their volume are a potential source of sea level  
2 variations (Chapter 11).

3  
4 Vegetation and soils at the *land surface* control how energy received from the Sun is returned to the atmosphere.  
5 Some is returned as longwave (infrared) radiation, heating the atmosphere as the land surface warms. Some serves to  
6 evaporate water, either in the soil or in the leaves of plants, bringing water back into the atmosphere. Because the  
7 evaporation of soil moisture requires energy, soil moisture has a strong influence on the surface temperature. The  
8 texture of the land surface (its roughness) influences the atmosphere dynamically as winds blow over the land's  
9 surface. Roughness is determined by both topography and vegetation. Wind also blows dust from the surface into the  
10 atmosphere, which interacts with the atmospheric radiation.

11  
12 The marine and terrestrial *biospheres* have a major impact on the atmosphere's composition. The biota influence the  
13 uptake and release of greenhouse gases. Through the photosynthetic process, both marine and terrestrial plants  
14 (especially forests) store significant amounts of carbon dioxide. Thus, the biosphere plays a central role in the carbon  
15 cycle, as well as in the budgets of many other gases, such as methane and nitrous oxide. Other biospheric emissions  
16 are the so-called Volatile Organic Compounds, which may have important effects on atmospheric chemistry, on  
17 aerosol formation and therefore on climate. Because the storage of carbon and the exchange of trace gas are  
18 influenced by climate, feedbacks between climate change and atmospheric concentrations of trace gases can occur.  
19 The influence of climate on the biosphere is preserved as fossils, tree rings, pollen and other records, so that much of  
20 what is known of past climates comes from such biotic indicators.

### 21 *Interactions among the components*

22  
23  
24 Many physical, chemical and biological interaction processes occur among the various components of the climate  
25 system on a wide range of space and time scales, making the system extremely complex. Although the components of  
26 the climate system are very different in their composition, physical and chemical properties, structure and behaviour,  
27 they are all linked by fluxes of mass, heat and momentum: all subsystems are open and interrelated.

28  
29 As an example, the atmosphere and the oceans are strongly coupled and exchange, among others, water vapour and  
30 heat through evaporation. This is part of the hydrological cycle and leads to condensation, cloud formation,  
31 precipitation and runoff, and supplies energy to weather systems. On the other hand, precipitation has an influence on  
32 salinity, its distribution and the thermohaline circulation. Atmosphere and oceans also exchange, among other gases,  
33 carbon dioxide, maintaining a balance by dissolving it in cold polar water which sinks into the deep ocean and by  
34 outgassing in relatively warm upwelling water near the equator.

35  
36 Some other examples: sea ice hinders the exchanges between atmosphere and oceans; the biosphere influences the  
37 carbon dioxide concentration by photosynthesis and respiration, which in turn is influenced by climate change. The  
38 biosphere also affects the input of water in the atmosphere through evapotranspiration, and the atmosphere's radiative  
39 balance through the amount of sunlight reflected back to the sky (albedo).

40  
41 These are just a few examples from a virtually inexhaustible list of complex interactions some of which are poorly  
42 known or perhaps even unknown. Chapter 7 provides an assessment of the present knowledge of physical climate  
43 processes and feedbacks, whilst Chapter 3 deals with biological feedbacks.

44  
45 Any change, whether natural or anthropogenic, in the components of the climate system and their interactions, or in  
46 the external forcing, may result in climate variations. The following Sections introduce various aspects of natural  
47 climate variations, followed by an introduction to the human influence on the climate system.

## 50 **1.2 Natural Climate Variations**

### 52 *1.2.1 Natural Forcing of the Climate System*

#### 54 *The Sun and the global energy balance*

1 The ultimate source of energy that drives the climate system is radiation from the Sun. About half of the radiation is in  
2 the visible short-wave part of the electromagnetic spectrum. The other half is mostly in the near-infrared part, partly in  
3 the ultraviolet part of the spectrum. Each square meter of the Earth's spherical surface outside the atmosphere receives  
4 an average through the year of 342 Watts of solar radiation, 31% of which is immediately reflected back into space by  
5 clouds, by the atmosphere, and by the Earth's surface. The remaining 235 Watts per square meter ( $\text{Wm}^{-2}$ ) is partly  
6 absorbed by the atmosphere but most ( $168 \text{ Wm}^{-2}$ ) warms the Earth's surface: the land and the ocean. The Earth's  
7 surface returns that heat to the atmosphere, partly as infrared radiation, partly as sensible heat and as water vapour  
8 which releases its heat when it condenses higher up in the atmosphere. This exchange of energy between surface and  
9 atmosphere maintains under the present conditions a global mean temperature near the surface of  $14^\circ\text{C}$ , decreasing  
10 rapidly with height and reaching a mean temperature of  $-58^\circ\text{C}$  at the top of the troposphere.

11  
12 For a stable climate, a balance is required between incoming solar radiation and the outgoing radiation emitted by the  
13 climate system. Therefore the climate system itself must radiate on average  $235 \text{ Wm}^{-2}$  back into space. Details of this  
14 energy balance can be seen in Figure 1.2, which shows on the left hand side what happens with the incoming solar  
15 radiation, and on the right hand side how the atmosphere emits the outgoing infrared radiation. Any physical object  
16 radiates energy of an amount and at wave lengths typical for the temperature of the object: at higher temperatures  
17 more energy is radiated at shorter wavelengths. For the Earth to radiate  $235 \text{ Wm}^{-2}$ , it should radiate at an effective  
18 emission temperature of  $-19^\circ\text{C}$  with typical wavelengths in the infrared part of the spectrum. This is  $33^\circ\text{C}$  lower than  
19 the average temperature of  $14^\circ\text{C}$  at the Earth's surface. To understand why this is so, one must take into account the  
20 radiative properties of the atmosphere in the infrared part of the spectrum.

21  
22 [Insert Figure 1.2 here]

### 23 24 *The natural greenhouse effect*

25  
26 The atmosphere contains several trace gases which absorb and emit infrared radiation. These so called greenhouse  
27 gases absorb infrared radiation, emitted by the Earth's surface, the atmosphere and clouds, except in a transparent part  
28 of the spectrum called the "atmospheric window", as shown in Figure 1.2. They emit in turn infrared radiation in all  
29 directions including downward to the Earth's surface. Thus greenhouse gases trap heat within the atmosphere. This  
30 mechanism is called the natural greenhouse effect. The net result is an upward transfer of infrared radiation from  
31 warmer levels near the Earth's surface to colder levels at higher altitudes. The infrared radiation is effectively radiated  
32 back into space from an altitude with a temperature of, on average,  $-19^\circ\text{C}$ , in balance with the incoming radiation,  
33 whereas the Earth's surface is kept at a much higher temperature of on average  $14^\circ\text{C}$ . This effective emission  
34 temperature of  $-19^\circ\text{C}$  corresponds in midlatitudes with a height of approximately 5 km. Note that it is essential for the  
35 greenhouse effect that the temperature of the lower atmosphere is not constant (isothermal) but decreases with height.  
36 The natural greenhouse effect is part of the energy balance of the Earth, as can be seen schematically in Figure 1.2.

37  
38 Also clouds play an important role in the Earth's energy balance and in particular in the natural greenhouse effect.  
39 Clouds absorb and emit infrared radiation and thus contribute to warming the Earth's surface, just like the greenhouse  
40 gases. On the other hand, most clouds are bright reflectors of solar radiation and tend to cool the climate system. The  
41 net average effect of the Earth's cloud cover in the present climate is a slight cooling: the reflection of radiation more  
42 than compensates the greenhouse effect of clouds. However this effect is highly variable, depending on height, type  
43 and optical properties of clouds.

44  
45 This introduction to the global energy balance and the natural greenhouse effect is entirely in terms of the global mean  
46 and in radiative terms. However, for a full understanding of the greenhouse effect and of its impact on the climate  
47 system, also dynamical feedbacks and energy transfer processes should be taken into account. Chapter 7 presents a  
48 more detailed analysis and assessment.

### 49 50 *Radiative forcing and forcing variability*

51  
52 In an equilibrium climate state the average net radiation at the top of the atmosphere is zero. A change in either the  
53 solar radiation or the infrared radiation, changes the net radiation. The corresponding imbalance is called "radiative  
54 forcing". In practice, for this purpose, the top of the troposphere (the tropopause) is taken as the top of the atmosphere,  
55 because the stratosphere adjusts in a matter of months to changes in the radiative balance, whereas the surface-

1 troposphere system adjusts much more slowly, owing principally to the large thermal inertia of the oceans. The  
2 radiative forcing of the surface troposphere system is then the change in net irradiance at the tropopause after allowing  
3 for stratospheric temperatures to re-adjust to radiative equilibrium, but with surface and tropospheric temperatures and  
4 state held fixed at the unperturbed values. A detailed explanation and discussion of the radiative forcing concept may  
5 be found in Appendix A to Chapter 6.

6  
7 External forcings, such as the solar radiation or the large amounts of aerosols ejected by volcanic eruption into the  
8 atmosphere, may vary on widely different time scales, causing natural variations in the radiative forcing. These  
9 variations may be negative or positive. In either case the climate system must react to restore the balance. A positive  
10 radiative forcing tends to warm the surface on average, whereas a negative radiative forcing tends to cool it. Internal  
11 climate processes and feedbacks may also cause variations in the radiative balance by their impact on the reflected  
12 solar radiation or emitted infrared radiation, but such variations are not considered part of the radiative forcing.  
13 Chapter 6 assesses the present knowledge of the radiative forcing and its variations including the anthropogenic  
14 change of the atmospheric composition.

### 15 16 17 **1.2.2 Natural Variability of Climate**

#### 18 19 *Internally and externally induced climate variability*

20  
21 Climate variations, both in the mean state and in other statistics such as e.g. the occurrence of extreme events, may  
22 result from radiative forcing, but also from internal interactions between components of the climate system. A  
23 distinction can therefore be made between externally and internally induced natural climate variability and change.

24  
25 When variations in the external forcing occur, the response time of the various components of the climate system is  
26 very different. With regard to the atmosphere, the response time of the troposphere is relatively short, from days to  
27 weeks, whereas the stratosphere comes into equilibrium on a time scale of typically a few months. Due to their large  
28 heat capacity, the oceans have a much longer response time, typically decades but up to centuries or millennia. The  
29 response time of the strongly coupled surface-troposphere system is therefore slow compared to that of the  
30 stratosphere, and mainly determined by the oceans. The biosphere may respond fast, e.g. to droughts, but also very  
31 slowly to imposed changes. Therefore the system may respond to variations in external forcing on a wide range of  
32 space and time scales. The impact of solar variations on the climate provides an example of such externally induced  
33 climate variations.

34  
35 But also without changes in external forcing, the climate may vary naturally, because, in a system of components with  
36 very different response times and non-linear interactions, the components are never in equilibrium and always  
37 varying. An example of such internal climate variation is ENSO, resulting from the interaction between atmosphere  
38 and ocean in the tropical Pacific.

#### 39 40 *Feedbacks and non-linearities*

41  
42 The response of the climate to the internal variability of the climate system and to external forcings is further  
43 complicated by feedbacks and non-linear responses of the components. A process is called a feedback when the result  
44 of the process affects its origin thereby intensifying (positive feedback) or reducing (negative feedback) the original  
45 effect. An important example of a positive feedback is the water vapour feedback in which the amount of water  
46 vapour in the atmosphere increases as the Earth warms. This increase in turn may amplify the warming because water  
47 vapour is a strong greenhouse gas. A strong and very basic negative feedback is the radiative damping: an increase in  
48 temperature strongly increases the amount of emitted infrared radiation. This limits and controls the original  
49 temperature increase.

50  
51 A distinction is made between physical feedbacks involving physical climate processes, and biogeochemical  
52 feedbacks involving often coupled biological, geological and chemical processes. An example of a physical feedback  
53 is the complicated interaction between clouds and the radiative balance. Chapter 7 provides an overview and  
54 assessment of the present knowledge of such feedbacks. An important example of a biogeochemical feedback is the  
55 interaction between the atmospheric CO<sub>2</sub> concentration and the carbon uptake by the landsurface and the oceans.

1 Understanding this feedback is essential for an understanding of the carbon cycle. This is discussed and assessed in  
2 detail in Chapter 3.

3  
4 Many processes and interactions in the climate system are non-linear. That means that there is no simple proportional  
5 relation between cause and effect. A complex, non-linear system may display, what is technically called, chaotic  
6 behaviour. This means that the behaviour of the system is critically dependent on very small changes of the initial  
7 conditions. This does not imply however that the behaviour of non-linear chaotic systems is entirely unpredictable,  
8 contrary to what is meant by “chaotic” in colloquial language. It has however consequences for the nature of its  
9 variability and the predictability of its variations. The daily weather is a good example. The evolution of weather  
10 systems responsible for the daily weather is governed by such non-linear chaotic dynamics. This does not preclude  
11 successful weather prediction, but this predictability is limited to a period of at most two weeks. Similarly, although  
12 the climate system is highly non-linear, the quasi-linear response of many models to present and predicted levels of  
13 external radiative forcing suggests that the large scale aspects of human induced climate change may be predictable,  
14 although as discussed in Section 1.3.2 below, unpredictable behaviour of non-linear systems can never be ruled out.  
15 The predictability of the climate system is discussed in Chapter 7.

#### 16 *Global and hemispheric variability*

17  
18  
19 Climate varies naturally on all time scales. During the last million years or so glacial periods and interglacials have  
20 alternated as a result of variations in the Earth’s orbital parameters. Based on Antarctic ice cores, more detailed  
21 information is available now about the four full glacial cycles during the last 500,000 years. In recent years it was  
22 discovered that during the last glacial period large and very rapid temperature variations took place over large parts of  
23 the globe, in particular in the higher latitudes of the Northern Hemisphere. These abrupt events saw temperature  
24 changes of many degrees within a human life time. In contrast the last 10,000 years appear to have been relatively  
25 more stable, though locally quite large changes have occurred.

26  
27 Recent analyses suggest that the Northern Hemisphere climate of the past 1000 years was characterized by an  
28 irregular but steady cooling, followed by a strong warming during the twentieth century. Temperatures were relatively  
29 warm during the 11th to 13th century and relatively cool during the 16th to 19th century. These periods co-incide with  
30 what are traditionally known as the medieval Climate Optimum and the Little Ice Age, although these anomalies  
31 appear to have been most distinct only in and around the North Atlantic region. Based on these analyses, the warmth  
32 of the late twentieth century appears to have been unprecedented during the millenium. A comprehensive review and  
33 assessment of observed global and hemispheric variability may be found in Chapter 2.

34  
35 The scarce data from the Southern Hemisphere suggest temperature changes in past centuries markedly different from  
36 those in the Northern Hemisphere, the only obvious similarity being the strong warming during the twentieth century.

#### 37 *Regional patterns of climate variability*

38  
39  
40 Regional or local climate is generally much more variable than climate on a hemispheric or global scale because  
41 regional or local variations in one region are compensated for by opposite variations elsewhere. Indeed a closer  
42 inspection of the spatial structure of climate variability, in particular on seasonal and longer time scales, shows that it  
43 occurs predominantly in preferred large-scale and geographically anchored spatial patterns. Such patterns result from  
44 interactions between the atmospheric circulation and the land and ocean surfaces. Though geographically anchored,  
45 their amplitude can change in time as for example the heat exchange with the underlying ocean changes.

46  
47 A well known example is the quasi-periodically varying El Niño-Southern Oscillation (ENSO) phenomenon, caused  
48 by atmosphere-ocean interaction in the tropical Pacific. The resulting El Niño and La Niña events have a worldwide  
49 impact on weather and climate.

50  
51 Another example is the North Atlantic Oscillation (NAO), which has a strong influence on the climate of Europe and  
52 part of Asia. This pattern consists of opposing variations of barometric pressure near Iceland and near the Azores. On  
53 average, a westerly current, between the Icelandic low pressure area and the Azores high pressure area, carries  
54 cyclones with their associated frontal systems towards Europe. However the pressure difference between Iceland and  
55 the Azores fluctuates on time scales of days to decades, and can be reversed at times. The variability of NAO has

1 considerable influence on the regional climate variability in Europe, in particular in winter time. Chapter 7 discusses  
2 the internal processes involved in the NAO variability.

3  
4 Similarly, although data are scarcer, leading modes of variability have been identified over the Southern Hemisphere.  
5 Examples are a North-South dipole structure over the Southern Pacific, whose variability is strongly related to ENSO  
6 variability, and the Antarctic Oscillation (AO), a zonal pressure fluctuation between middle and high latitudes of the  
7 Southern Hemisphere. A detailed account of regional climate variability may be found in Chapter 2.

### 10 **1.2.3 Extreme Events**

11  
12 Climate as defined is associated with a certain probability distribution of weather events. Weather events with values  
13 far away from the mean (like heatwaves, droughts and floodings) are by definition less likely to occur. The least likely  
14 events in some statistical sense are called “extreme events”. Extreme weather in one region (e.g. a heat wave) may be  
15 normal in another. In both regions nature and society are adapted to the regional weather averaged over longer  
16 periods, but much less to extremes. For example, tropical African temperatures could severely damage vegetation or  
17 human health if they occurred in Northern Europe. Impacts of extreme events are felt strongly by ecosystems and  
18 society and may be destructive.

19  
20 Small changes in climate may, but will not necessarily, have a large impact on the probability distribution of weather  
21 events in space and time, and on the intensity of extremes. Nature and society are often particularly ill prepared and  
22 vulnerable for such changes. This is the reason why since the SAR much more attention has been paid to observed and  
23 projected variations of extremes. Chapter 2 gives an assessment of the present knowledge.

## 26 **1.3 Human-induced Climate Variations**

### 28 **1.3.1 Human Influence on the Climate System**

29  
30 Human beings, like other living organisms, have always influenced their environment. It is only since the beginning  
31 of the industrial revolution, mid 18th Century, that the impact of human activities has begun to extend to a much  
32 larger scale, continental or even global. Human activities, in particular those involving the combustion of fossil fuels  
33 for industrial or domestic usage and biomass burning, produce greenhouse gases and aerosols which affect the  
34 composition of the atmosphere. The emission of chlorofluorocarbons (CFCs) and other chlorine and bromine  
35 compounds has not only an impact on the radiative forcing, but has also led to the depletion of the stratospheric ozone  
36 layer. Land use change, due to urbanization and human forestry and agricultural practices, affect the physical and  
37 biological properties of the Earth’s surface. Such effects change the radiative forcing and have a potential impact on  
38 regional and global climate.

#### 40 *Anthropogenic perturbation of the atmospheric composition*

41  
42 For about a thousand years before the industrial revolution, the amount of greenhouse gases in the atmosphere  
43 remained relatively constant. Since then, the concentration of various greenhouse gases has increased. The amount of  
44 carbon dioxide, for example, has increased by more than 30% since pre-industrial times and is still increasing at an  
45 unprecedented rate of on average 0.4% per year, mainly due to the combustion of fossil fuels and deforestation. We  
46 know that this increase is anthropogenic because the changing isotopic composition of the atmospheric CO<sub>2</sub> betrays  
47 the fossil origin of the increase. The concentration of other natural radiatively active atmospheric components, such as  
48 methane and nitrous oxide, is increasing as well due to agricultural, industrial and other activities. The concentration  
49 of the nitrogen oxides NO and NO<sub>2</sub> and of carbon monoxide (CO) are also increasing. Although these gases are not  
50 greenhouse gases, they play a role in the atmospheric chemistry and have led to an increase of tropospheric ozone, a  
51 greenhouse gas, by 40% since pre-industrial times (Chapter 4). Moreover, NO<sub>2</sub> is an important absorber of visible  
52 solar radiation. Chlorofluorocarbons and some other halogen compounds do not occur naturally in the atmosphere but  
53 have been introduced by human activities. Beside their depleting effect on the stratospheric ozone layer, they are  
54 strong greenhouse gases. Their greenhouse effect is only partly compensated for by the depletion of the ozone layer  
55 which causes a negative forcing of the surface-troposphere system. All these gases, except tropospheric ozone and its



1 precursors, have long to very long atmospheric life-times and therefore become well mixed throughout the  
2 atmosphere.

3  
4 Human industrial, energy related, and land use activities also increase the amount of aerosol in the atmosphere, in the  
5 form of mineral dust, sulphates and nitrates and soot. Their atmospheric life-time is short because they are removed by  
6 rain. As a result their concentrations are highest near their sources and vary substantially regionally, with global  
7 consequences. The increases in greenhouse gas concentrations and aerosol content in the atmosphere result in a  
8 change in the radiative forcing to which the climate system must act to restore the radiative balance.

#### 9 10 *The enhanced greenhouse effect*

11  
12 The increased concentration of greenhouse gases in the atmosphere enhances the absorption and emission of infrared  
13 radiation. The atmosphere's opacity increases so that the altitude from which the Earth's radiation is effectively  
14 emitted into space becomes higher. Because the temperature is lower at higher altitudes, less energy is emitted causing  
15 a positive radiative forcing. This effect is called the enhanced greenhouse effect, which is discussed in detail in  
16 Chapter 6.

17  
18 If the amount of carbon dioxide were doubled instantaneously, everything else remaining the same, the outgoing  
19 infrared radiation would be reduced by about  $4 \text{ Wm}^{-2}$ . In other words, the radiative forcing corresponding to a  
20 doubling of the  $\text{CO}_2$  concentration would be  $4 \text{ Wm}^{-2}$ . To counteract this imbalance the temperature of the surface-  
21 troposphere system would have to increase by  $1.2^\circ\text{C}$  (with an accuracy of  $\pm 10\%$ ), in the absence of other changes. In  
22 reality, due to feedbacks, the response of the climate system is much more complex. It is believed that the overall  
23 effect of the feedbacks amplifies the temperature increase to  $1.5 - 4.5^\circ\text{C}$ . A significant part of this uncertainty range  
24 arises from our limited knowledge of clouds and their interactions with radiation. To appreciate the magnitude of this  
25 temperature increase, it should be compared with the global mean temperature difference of perhaps  $5$  or  $6^\circ\text{C}$  from the  
26 middle of the last ice age to the present interglacial.

27  
28 The so-called water vapour feedback, caused by an increase in atmospheric water vapour due to a temperature  
29 increase, is the most important feedback responsible for the amplification of the temperature increase. Concern has  
30 been expressed about the strength of this feedback, in particular in relation to the role of upper tropospheric humidity.  
31 Since the SAR, thinking about this feedback has become increasingly sophisticated thanks to both modelling and  
32 observational studies. Feedbacks are discussed and assessed in Chapter 7. In particular, the present state of knowledge  
33 of the water vapour feedback is examined in Section 7.2.1.

34  
35 It has been suggested that the absorption by  $\text{CO}_2$  is already saturated so that an increase would have no effect. This  
36 however is not the case. Carbon dioxide absorbs infrared radiation in the middle of its  $15 \mu\text{m}$  band to the extent that  
37 radiation in the middle of this band cannot escape unimpeded: this absorption is saturated. This is however not the  
38 case for the band's wings. It is because of these effects of partial saturation that the radiative forcing is not  
39 proportional to the increase of the carbon dioxide concentration but shows a logarithmic dependence. Every further  
40 doubling adds an additional  $4 \text{ Wm}^{-2}$  to the radiative forcing.

41  
42 The other human-made greenhouse gases add to the effect of increased carbon dioxide. Their total effect at the surface  
43 is often expressed in terms of the effect of an equivalent increase of carbon dioxide.

#### 44 45 *The effect of aerosols*

46  
47 The effect of the increasing amount of aerosols on the radiative forcing is complex and not yet well known. The *direct*  
48 effect is scattering of part of the incoming solar radiation back into space. This causes a negative radiative forcing  
49 which may partly, and locally even completely, offset the enhanced greenhouse effect. However due to their short  
50 atmospheric life time, the radiative forcing is very inhomogeneous in space and in time. This complicates their effect  
51 on the highly non-linear climate system. Some aerosols, such as soot, absorb solar radiation directly leading to local  
52 heating of the atmosphere, or absorb and emit infrared radiation, adding to the enhanced greenhouse effect.

53  
54 Aerosols may also affect the number density and size of cloud droplets. This may change the amount and optical  
55 properties of cloud, and hence their reflection and absorption. It may also have an impact on the formation of

1 precipitation. As discussed in Chapter 5, these are potentially important *indirect* effects of aerosols resulting probably  
2 in a negative radiative forcing of as yet very uncertain magnitude.

### 3 4 *Land-use change*

5  
6 The term “land-use change” refers to a change in the use or management of land. Such change may result from  
7 various human activities such as changes in agriculture and irrigation, deforestation, reforestation and afforestation,  
8 but also from urbanisation or traffic. Land use change results in changing the physical and biological properties of the  
9 land surface and thus the climate system.

10  
11 It is now recognized that land-use change on the present scale may contribute significantly to changing the local,  
12 regional or even global climate and moreover has an important impact on the carbon cycle. Physical processes and  
13 feedbacks caused by land use change, that may have an impact on the climate, include changes in albedo and surface  
14 roughness, and the exchange between land and atmosphere of water vapor and greenhouse gases. These climatic  
15 consequences of land-use change are discussed and evaluated in Section 4 of Chapter 7. Land-use change may also  
16 affect the climate system through biological processes and feedbacks involving the terrestrial vegetation, which may  
17 lead to changes in the sources and sinks of carbon in its various forms. Chapter 3 reviews the consequences for the  
18 carbon cycle. Obviously the combined effect of these physical and biogeochemical processes and feedbacks is  
19 complex, but new data sets and models start to shed light on this.

20  
21 Urbanization is another kind of land-use change. It may affect the local wind climate through its influence on the  
22 surface roughness. It may also create a local climate substantially warmer than the surrounding country side by the  
23 heat released by densely populated human settlements, by changes in evaporation characteristics and by modifying the  
24 outgoing long-wave radiation through interception by tall buildings etc. This is known as “urban heat island”. The  
25 influence on the regional climate may be noticeable but small. It may however have a significant influence on long  
26 instrumental temperature records from stations affected by expanding urbanization. The consequences of this  
27 urbanization effect for the global surface temperature record has been the subject of debate. It is discussed in Section  
28 2.2.2 of Chapter 2.

### 29 30 *Climate response*

31  
32 The increase in greenhouse gas and aerosol concentrations in the atmosphere and also land use change produces a  
33 radiative forcing or affects processes and feedbacks in the climate system. As discussed in Chapter 7, the response of  
34 the climate to these human-induced forcings is complicated by such feedbacks, by the strong non-linearity of many  
35 processes and by the fact that the various coupled components of the climate system have very different response  
36 times to perturbations. Qualitatively, an increase of atmospheric greenhouse gas concentrations leads to an average  
37 increase of the temperature of the surface troposphere system. The response of the stratosphere is entirely different.  
38 The stratosphere is characterized by a radiative balance between absorption of solar radiation mainly by ozone, and  
39 emission of infrared radiation by mainly carbon dioxide. An increase of the carbon dioxide concentration therefore  
40 leads to an increase of the emission and thus to a cooling of the stratosphere.

41  
42 The only means available to quantify the non-linear climate response is by using numerical models of the climate  
43 system based on well-established physical, chemical and biological principles, possibly combined with empirical and  
44 statistical methods.

## 45 46 47 **1.3.2 Modelling and Projection of Anthropogenic Climate Change**

### 48 49 *Climate models*

50  
51 The behaviour of the climate system, its components and their interactions, can be studied and simulated using tools  
52 known as climate models. They are designed mainly for studying climate processes and natural climate variability,  
53 and for projecting the response of the climate to human induced forcing. Each component or coupled combination of  
54 components of the climate system can be represented by models of varying complexity.

1 The nucleus of the most complex atmosphere and ocean models, called General Circulation Models (AGCMs and  
2 OGCMs) is based upon physical laws describing the dynamics of atmosphere and ocean, expressed by mathematical  
3 equations. Since these equations are non-linear, they need to be solved numerically by means of well-established  
4 mathematical techniques. Current atmosphere models are solved spatially on a three dimensional grid of points on the  
5 globe with a horizontal resolution of typically 250 km and some 10-30 levels in the vertical. A typical ocean model  
6 has a horizontal resolution of 125-250 km and a resolution of 200-400 m in the vertical. Their time dependent  
7 behaviour is computed by taking timesteps of typically 30 minutes. The impact of the spatial resolution on the model  
8 simulations is discussed in Section 8.9 of Chapter 8.

9  
10 Models of the various components of the climate system may be coupled to produce increasingly complex models.  
11 The historical development of such coupled climate models is shown in Box 3 of the Technical Summary. Processes  
12 taking place on spatial and temporal scales smaller than the model's resolution, such as individual clouds or  
13 convection in atmosphere models, or heat transport through boundary currents or mesoscale eddies in ocean models,  
14 are included through a parametric representation in terms of the resolved basic quantities of the model.  
15 Coupled atmosphere-ocean models, including such parametrized physical processes, are called Atmosphere-Ocean  
16 General Circulation Models (AOGCMs). They are combined with mathematical representations of other components  
17 of the climate system, sometimes based on empirical relations, such as the land surface and the cryosphere. The most  
18 recent models may include representations of aerosol processes and the carbon cycle, and in the near future perhaps  
19 also the atmospheric chemistry. The development of these very complex coupled models goes hand in hand with the  
20 availability of ever larger and faster computers to run the models. Climate simulations require the largest, most  
21 capable computers available.

22  
23 A realistic representation of the coupling between the various components of the climate system is essential. In  
24 particular the coupling between the atmosphere and the oceans is of central importance. The oceans have a huge heat  
25 capacity and a decisive influence on the hydrological cycle of the climate system, and store and exchange large  
26 quantities of carbon dioxide. To a large degree the coupling between oceans and atmosphere determines the energy  
27 budget of the climate system. There have been difficulties modelling this coupling with enough accuracy to prevent  
28 the model climate unrealistically drifting away from the observed climate. Such climate drift may be avoided by  
29 adding an artificial correction to the coupling, the so-called "flux adjustment". The evaluation in Chapter 8 of recent  
30 model results identifies improvements since the SAR, to the point that there is a reduced reliance on such corrections,  
31 with some recent models operating with no or minimal adjustment.

32  
33 For various reasons, discussed in Section 8.3 of Chapter 8, it is important to also develop and use simpler models than  
34 the fully coupled comprehensive AOGCMs, for example to study only one or a specific combination of components  
35 of the climate system or even single processes, or to study many different alternatives, which is not possible or  
36 impractical with comprehensive models. In IPCC (1997) a hierarchy of models used in the IPCC assessment process  
37 was identified and described, differing in such aspects as the number of spatial dimensions, the extent to which  
38 physical processes are explicitly represented, the level to which empirical parametrization are involved, and the  
39 computational costs of running the models. In the IPCC context, simple models are also used to compute the  
40 consequences of greenhouse gas emission scenarios. Such models are tuned to the AOGCMs to give similar results  
41 when globally averaged.

#### 42 43 *Projections of climate change*

44  
45 Climate models are used to simulate and quantify the climate response to present and future human activities. The first  
46 step is to simulate the present climate for extended simulation periods, typically many decades, under present  
47 conditions without any change in external climate forcing.

48  
49 The quality of these simulation is assessed by systematically comparing the simulated climate with observations of the  
50 present climate. In this way the model is evaluated and its quality established. A range of diagnostic tools has been  
51 developed to assist the scientists in carrying out the evaluation. This step is essential to gain confidence in and provide  
52 a baseline for projections of human induced climate change. Models may also be evaluated by running them under  
53 different palaeoclimate (e.g. ice age) conditions. Chapter 8 of this report presents a detailed assessment of the latest  
54 climate models of various complexity, in particular the AOGCMs. Once the quality of the model is established, two  
55 different strategies have been applied to make projections of future climate change.

1  
2 The first, so-called equilibrium, method is to change, e.g. double, the carbon dioxide concentration and to run the  
3 model again to a new equilibrium. The differences between the climate statistics of the two simulations provide an  
4 estimate of the climate change corresponding to the doubling of carbon dioxide, and of the sensitivity of the climate to  
5 a change of the radiative forcing. This method reduces systematic errors present in both simulations. If combined with  
6 simple slab ocean models, this strategy is relatively cheap because it does not require long runs to reach equilibrium.  
7 However it does not provide insight in the time dependence of climate change.  
8

9 The second, so-called transient, method, common nowadays with improved computer resources, is to force the model  
10 with a greenhouse gas and aerosol scenario. The difference between such simulation and the original baseline  
11 simulation provides a time-dependent projection of climate change.  
12

13 This transient method requires a time-dependent profile of greenhouse gas and aerosol concentrations. These may be  
14 derived from so-called emission scenarios. Such scenarios have been developed, among others by IPCC, on the basis  
15 of various internally coherent assumptions concerning future socio-economic and demographic developments. In the  
16 SAR the IPCC Scenarios IS92 were used (IPCC, 1994). The most recent IPCC emission scenarios are described in  
17 the IPCC Special Report on Emission Scenarios (Nakicenovic et al., 2000). Different assumptions concerning e.g. the  
18 growth of the world population, energy intensity and efficiency, and economic growth, lead to considerably different  
19 emission scenarios. For example the two extreme estimates in the IPCC IS92 scenarios of the carbon dioxide emission  
20 by 2100 differ by a factor of 7. Because scenarios by their very nature should not be used and regarded as predictions,  
21 the term "climate projections" is used in this Report.  
22

23 Transient simulations may also be based on artificially constructed, so called idealized, scenarios. For example,  
24 scenarios have been constructed, assuming a gradual increase of greenhouse gas concentrations followed by  
25 stabilization at various levels. Climate simulations based on such idealized scenarios may provide insight in the  
26 climate response to potential policy measures leading to a stabilization of the GHG concentrations. See Section 3 of  
27 Chapter 9 for an assessment.  
28

29 Projections from present models show substantial agreement, but at the same time there is still a considerable degree  
30 of ambiguity and difference between the various models. All models show an increase in the globally averaged  
31 equilibrium surface temperature and global mean precipitation. In Chapter 9 the results of various models and  
32 intercomparison projects are assessed. Model results are more ambiguous about the spatial patterns of climate change  
33 than about the global response. Regional patterns depend significantly on the time dependence of the forcing, the  
34 spatial distribution of aerosol concentrations and details of the modelled climate processes. Research tools have been  
35 developed to generate more reliable regional climate information. These tools and their results are presented and  
36 assessed in Chapter 10.  
37

38 To study the impact of climate change, a plausible and consistent description of a possible future climate is required.  
39 The construction of such climate change scenarios relies mainly on results from model projections, although  
40 sometimes information from past climates is used. The basis for and development of such scenarios is assessed in  
41 Chapter 13. Global and regional sea-level change scenarios are reviewed in Chapter 11.  
42

#### 43 *Predictability, global and regional*

44

45 In trying to quantify climate change, there is a fundamental question to be answered: is the evolution of the state of the  
46 climate system predictable? Since the pioneering work by Lorenz in the 1960s, it is well known that complex non-  
47 linear systems have limited predictability, even though the mathematical equations describing the time evolution of  
48 the system are perfectly deterministic.  
49

50 The climate system is, as we have seen, such a non-linear complex system with many inherent time scales. Its  
51 predictability may depend on the type of climate event considered, the time and space scales involved and whether  
52 internal variability of the system or variability from changes in external forcing are involved. Internal variations  
53 caused by the chaotic dynamics of the climate system may be predictable to some extent. Recent experience has  
54 shown that the El Niño Southern Oscillation (ENSO) phenomenon may possess a fair degree of predictability for  
55 several months or even a year ahead. The same may be true for other events dominated by the long oceanic time

1 scales, such as perhaps the North Atlantic Oscillation. On the other hand, it is not known, for example, whether the  
2 rapid climate changes observed during the last glacial period are at all predictable or are unpredictable consequences  
3 of small changes resulting in major climatic shifts.  
4

5 There is evidence to suggest that climate variations on a global scale resulting from variations in external forcing are  
6 partly predictable. Examples are the mean annual cycle and short-term climate variations from individual volcanic  
7 eruptions, which models simulate well. Regularities in past climates, in particular the cyclic succession of warm and  
8 glacial periods forced by geometrical changes in the Sun-Earth orbit, are simulated by simple models with a certain  
9 degree of success. The global and continental scale aspects of human-induced climate change, as simulated by the  
10 models forced by increasing greenhouse gas concentration, are largely reproducible. Although this is not an absolute  
11 proof, it provides evidence that such externally forced climate change may be predictable, if their forcing mechanisms  
12 are known or can be predicted.  
13

14 Finally, global or continental scale climate change and variability may be more predictable than regional or local scale  
15 change, because the climate on very large spatial scales is less influenced by internal dynamics, whereas regional and  
16 local climate is much more variable under the influence of the internal chaotic dynamics of the system. See Chapter 7  
17 for an assessment of the predictability of the climate system.  
18

### 19 *Rapid climate change*

20  
21 A non-linear system such as the climate system may exhibit rapid climate change as a response to internal processes  
22 or rapidly changing external forcing. Because the probability of their occurrence may be small and their predictability  
23 limited, they are colloquially referred to as “unexpected events” or “surprises”. The abrupt events that took place  
24 during the last glacial cycle are often cited as an example to demonstrate the possibility of such rapid climate change.  
25 Certain possible abrupt events as a result of the rapidly increasing anthropogenic forcing could be envisioned.  
26 Examples are a possible reorganization of the thermohaline ocean circulation in the North Atlantic resulting in a more  
27 southerly course of the Gulf Stream, which would have a profound influence on the climate of Western Europe, a  
28 possible reduction of upper-level ocean cycling in the Southern Ocean, or a possible but unlikely rapid disintegration  
29 of part of the Antarctic ice sheet with dramatic consequences for the global sea level.  
30

31 More generally, with a rapidly changing external forcing, the non-linear climate system may experience as yet  
32 unenvisionable, unexpected, rapid change. Chapter 7, in particular Section 7.7, of this Report reviews and assesses the  
33 present knowledge of non-linear events and rapid climate change. Potential rapid changes in sea level are assessed in  
34 Chapter 11.  
35

### 37 **1.3.3 Observing Anthropogenic Climate Change**

#### 39 *Observing the climate*

40  
41 The question naturally arises whether the system has already undergone human-induced climate change. To answer  
42 this question, accurate and detailed observations of climate and climate variability are required. Instrumental  
43 observations of land and ocean surface weather variables and sea surface temperature have been made increasingly  
44 widely since the mid-nineteenth century. Recently, ships’ observations have been supplemented by data from  
45 dedicated buoys. The network of upper-air observations, however, only became widespread in the late 1950s. The  
46 density of observing stations always has been and still is extremely inhomogeneous with many stations in densely  
47 populated areas and virtually none in huge oceanic areas. In recent times special earth observation satellites have been  
48 launched providing a wide range of observations of various components of the climate system all over the globe. The  
49 correct interpretation of such data still requires high quality in-situ and surface data. The longer observational records  
50 suffer from changes in instrumentation, measurement techniques, exposure and gaps due to political circumstances or  
51 wars. Satellite data also require compensation for orbital and atmospheric transmission effects and for instrumental  
52 biases and instabilities. Earlier the problems related to urbanisation were mentioned. To be useful for the detection  
53 of climate change, observational records have to be adjusted carefully for all these effects.  
54

1 Concern has been expressed about the present condition of the observational networks. The number of upper air  
2 observations, surface stations and observations from ships is declining, partly compensated by an increasing number  
3 of satellite observations. An increasing number of stations is being automated which may have an impact on the  
4 quality and homogeneity of the observations. Maintaining and improving the quality and density of existing are  
5 essential for necessary high standard information. In order to implement and improve systematic observations of all  
6 components of the climate system, the World Meteorological Organization and the International Oceanographic  
7 Commission have established a Global Climate Observing System (GCOS). Initially GCOS uses existing  
8 atmospheric, oceanic and terrestrial networks. Later GCOS will aim to amplify and improve the observational  
9 networks where needed and possible.

10  
11 Observations alone are not sufficient to produce a coherent and global picture of the state of the climate system. So-  
12 called data assimilation systems have been developed, which combine observations and their temporal and spatial  
13 statistics with model information to provide a coherent quantitative estimate in space and time of the state of the  
14 climate system. Data assimilation also allows the estimation of properties which cannot easily be observed directly but  
15 which are linked to the observations through physical laws. Some institutions have recently reanalysed several  
16 decades of data by means of the most recent and most sophisticated version of their data assimilation system, avoiding  
17 in this way inhomogeneities due to changes in their system. However inhomogeneities in these reanalyses may still  
18 exist due to changing sources of information, such as the introduction of new satellite systems.

#### 19 20 *The twentieth century*

21  
22 Historically, human activities such as deforestation may have had a local or regional impact, but there is no reason to  
23 expect any large human influence on the global climate before the twentieth century. Observations of the global  
24 climate system during the twentieth century are therefore of particular importance. Chapter 2 presents evidence that  
25 there has been a mean global warming of 0.4 to 0.8°C of the atmosphere at the surface since the late nineteenth  
26 century. Figure 2.1 of Chapter 2 shows that this increase took place in two distinct phases, the first one between 1910  
27 and 1945, and recently since 1976. Recent years have been exceptionally warm, with a larger increase in minimum  
28 than in maximum temperatures possibly related, among others, to an increase of cloud cover. Surface temperature  
29 records indicate that the 1990s are likely to have been the warmest decade of the millennium in the Northern  
30 hemisphere, and 1998 is likely to have been the warmest year. For instrumentally recorded history, 1998 has been the  
31 warmest year globally. Concomitant with this temperature increase, sea level has risen during the twentieth century by  
32 10 – 20 cm and there has been a general retreat of glaciers worldwide, except in a few maritime regions, e.g. Norway  
33 and New Zealand (Chapter 11).

34  
35 Regional changes are also apparent. The observed warming has been largest over the mid- and high-latitude  
36 continents in winter and spring. Precipitation trends vary considerably geographically and moreover, data in most of  
37 the Southern Hemisphere and over the oceans are scarce. From the data available, it appears that precipitation has  
38 increased over land in mid- and high latitudes of the Northern Hemisphere, especially during winter and early spring,  
39 and over most Southern Hemisphere land areas. Over the tropical and the Northern Hemisphere subtropical land areas,  
40 particularly over the Mediterranean region during winter, conditions have become drier. In contrast, over large parts  
41 of the tropical oceans rainfall has increased.

42  
43 There is considerable variability of the atmospheric circulation at long time scales. The North Atlantic Oscillation for  
44 example, with its strong influence on the weather and climate of extratropical Eurasia, fluctuates on multiannual and  
45 multidecadal time scales, perhaps influenced by varying temperature patterns in the Atlantic Ocean. Since the 1970s  
46 the NAO has been in a phase that gives stronger westerly winds in winter. Recent El Niño-Southern Oscillation  
47 behaviour seems to have been unusual compared to that of previous decades: there is evidence that El Niño episodes  
48 since the mid 1970s have been relatively more frequent than the opposite La Niña episodes.

49  
50 There are suggestions that the occurrence of extreme weather events has changed in certain areas, but a global pattern  
51 is not yet apparent. For example, it is likely that in many regions of the world, both in the Northern and Southern  
52 Hemisphere, there has been a disproportionate increase in heavy and extreme precipitation rates in areas where the  
53 total precipitation has increased. Across most of the globe there has been a decrease in the frequency of much below  
54 normal seasonal temperatures.

1 A detailed assessment of observed climate variability and change may be found in Chapter 2, and of observed sea-  
2 level change in Chapter 11. Figure 2.37 of Chapter 2 summarizes observed variations of temperature and the  
3 hydrological cycle.

#### 4 5 *Detection and attribution*

6  
7 The fact that the global mean temperature has increased since the late nineteenth century and that other trends have  
8 been observed does not necessarily mean that an anthropogenic effect on the climate system has been identified.  
9 Climate has always varied on all time scales, so the observed change may be natural. A more detailed analysis is  
10 required to provide evidence of a human impact.

11  
12 Identifying human induced climate change requires two steps. First it must be demonstrated that an observed climate  
13 change is unusual in some statistical sense. This is the *detection* problem. For this to be successful one has to know  
14 quantitatively how climate varies naturally. Although estimates have improved since the SAR, there is still  
15 considerable uncertainty in the magnitude of this natural climate variability. The SAR concluded nevertheless, on the  
16 basis of careful analyses, that “the observed change in global mean, annually averaged temperature over the last  
17 century is unlikely to be due entirely to natural fluctuations of the climate system”.

18  
19 Having detected a climatic change, the most likely cause of that change has to be established. This is the *attribution*  
20 problem. Can one attribute the detected change to human activities, or could it also be due to natural causes? Also  
21 attribution is a statistical process. Neither detection nor attribution can ever be “certain”, but only probable in a  
22 statistical sense. The attribution problem has been addressed by comparing the temporal and spatial patterns of the  
23 observed temperature increase with model calculations based on anthropogenic forcing by greenhouse gases and  
24 aerosols, on the assumption that these patterns carry a fingerprint of their cause. In this way the SAR found that “there  
25 is evidence of an emerging pattern of climate response to forcing by greenhouse gases and sulphate aerosols in the  
26 observed climate record”. Since the SAR new results have become available which tend to support this conclusion.  
27 The present status of the detection of climate change and attribution of its causes is assessed in Chapter 12.

#### 28 29 30 **1.4 A ‘Road-map’ to this Report**

31  
32 This Report, the third IPCC Working Group I Assessment Report since 1990, assesses the state of scientific  
33 understanding of the climate system and its variability and change, in particular human induced climate change. This  
34 Section provides a ‘road map’ to the 14 chapters of this report and the major issues they are designed to address. Each  
35 chapter provides an initial summary of the Working Group I Second Assessment Report (IPCC, 1996) and then goes  
36 on to emphasise the progress made since then. The chapters can be viewed as covering the following three broad  
37 areas: *past* changes and the factors that can force climate change (**Chapters 2 to 6**), our *present* understanding and  
38 ability to model the climate system (**Chapters 7, 8 and 14**) and possible *future* climate change (**Chapters 9 to 13**).

39  
40 In order to understand, assess and quantify the possible human influence on climate, an analysis of past climate  
41 variability and change is required (**Chapter 2**). The chapter tackles such questions as: how much is the world  
42 warming and is the recent global warming unusual? It looks in detail at trends and variability during the recent  
43 instrumental period (the last 100 years or so) and draws on palaeodata to put them into the context of climate over  
44 much longer periods.

45  
46 There are many factors that are known to influence climate, both natural and human induced. The increase in  
47 concentrations of greenhouse gases and aerosols through human activity is of particular concern. **Chapters 3 to 5**  
48 examine how well the three most important human contributions to the changing composition of the atmosphere,  
49 carbon dioxide, other greenhouse gases and aerosols, are understood, including the physical, chemical and biological  
50 processes which determine the atmospheric concentrations of these components. The next step, taken in **Chapter 6**, is  
51 to evaluate how this change in atmospheric composition has affected radiative forcing within the context of other  
52 factors such as land use change, volcanic eruptions and solar variations.

53  
54 Understanding the climate response to these various radiative forcings and projecting how they could affect future  
55 climate requires an understanding of the physical processes and feedbacks in the climate system and an ability to

1 model them (**Chapter 7**). The only tools available for such projections of future climate are numerical models of the  
2 climate system of various complexity. An evaluation of such models against observations of the present and past  
3 climate and model intercomparisons provide the basis for confidence in such tools (**Chapter 8**).

4  
5 Climate models together with scenarios of future emissions of radiatively active atmospheric components, as for  
6 example the SRES scenarios, recently developed by IPCC specifically for this purpose, are used to project future  
7 climate change. State-of-the-art projections for the next 100 years are assessed in **Chapter 9**, mainly at a global level,  
8 but also including large scale patterns, their spatial and temporal variability and extreme events. Partly in response to  
9 the need for more details of climate change at a regional level, research in this area has been particularly active over  
10 the last 5 years. A new chapter, compared to previous assessments, has been included which examines the various  
11 techniques available to derive regional climate projections and, as far as is currently possible, assesses regional  
12 climate change information (**Chapter 10**). **Chapter 11** assesses the current state of knowledge of the rate of change  
13 of global averaged and regional sea-level in response to climate change.

14  
15 A key conclusion from the Working Group I SAR was ‘the balance of evidence suggests that there is a discernible  
16 human influence on global climate’. **Chapter 12** assesses research over the last 5 years on the detection and  
17 attribution of climate change drawing on the developments in observational research (Chapters 2 to 6) and modeling  
18 (Chapters 7 to 10) to consider how this conclusion has changed.

19  
20 Data derived directly from projections with climate models are often inappropriate for assessing the impacts of  
21 climate change which can require detailed, regional or local information as well as observational data describing  
22 current (or baseline) climate. Climate change scenarios are plausible representations of future climate constructed  
23 explicitly for impact assessment and form a key link between IPCC Working Groups I and II. For the first time,  
24 Working Group I have included a chapter dedicated to climate scenarios (**Chapter 13**) – it is intended to provide an  
25 assessment of scenario generation techniques, rather than to present scenarios themselves.

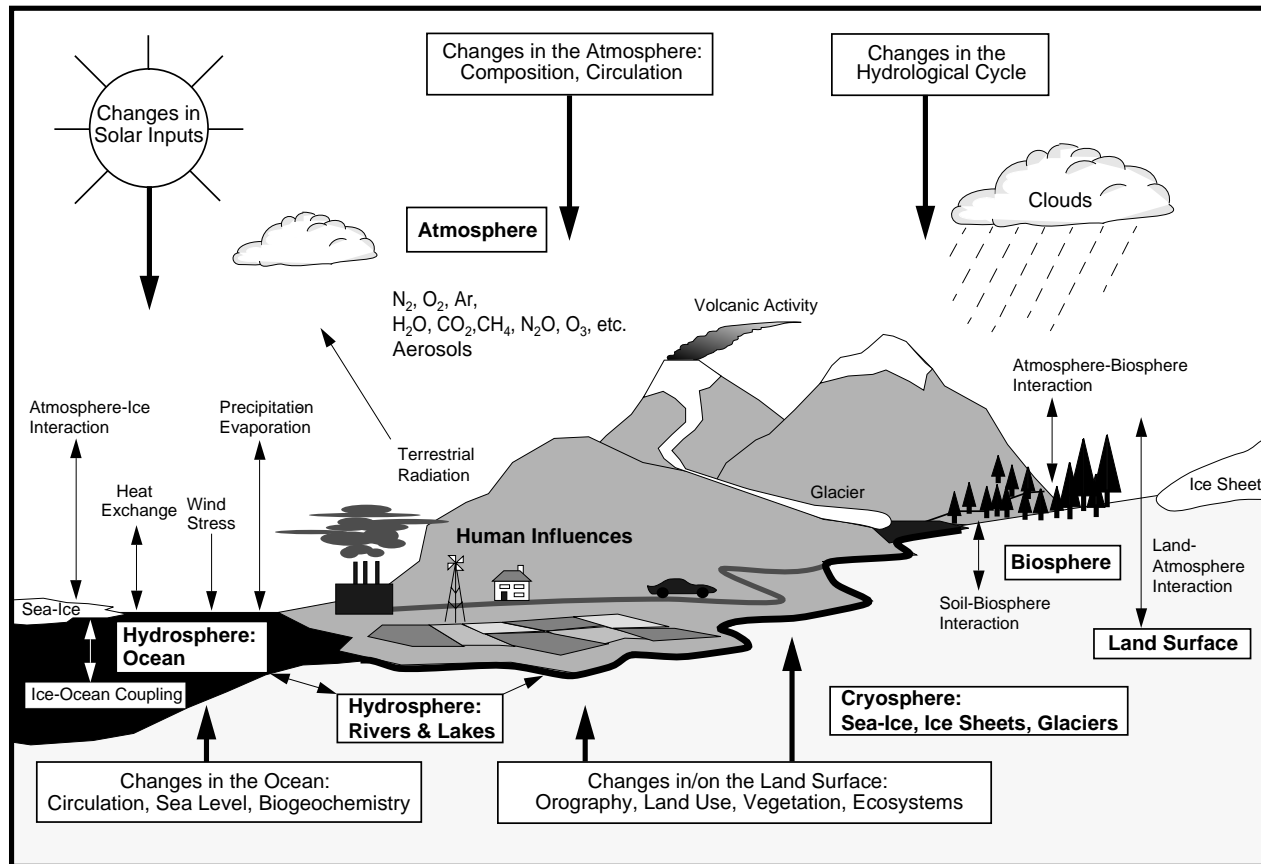
26  
27 All chapters of the report highlight areas of certainty and uncertainty, and gaps in current knowledge. **Chapter 14**  
28 draws together this information to present key areas that need to be addressed to advance understanding and reduce  
29 uncertainty in the science of climate change.

30  
31 A comprehensive and integrated summary of all results of this assessment report may be found in the **Technical**  
32 **Summary** in this volume. A brief summary highlighting points of particular policy relevance is presented in the  
33 **Summary for Policymakers**.

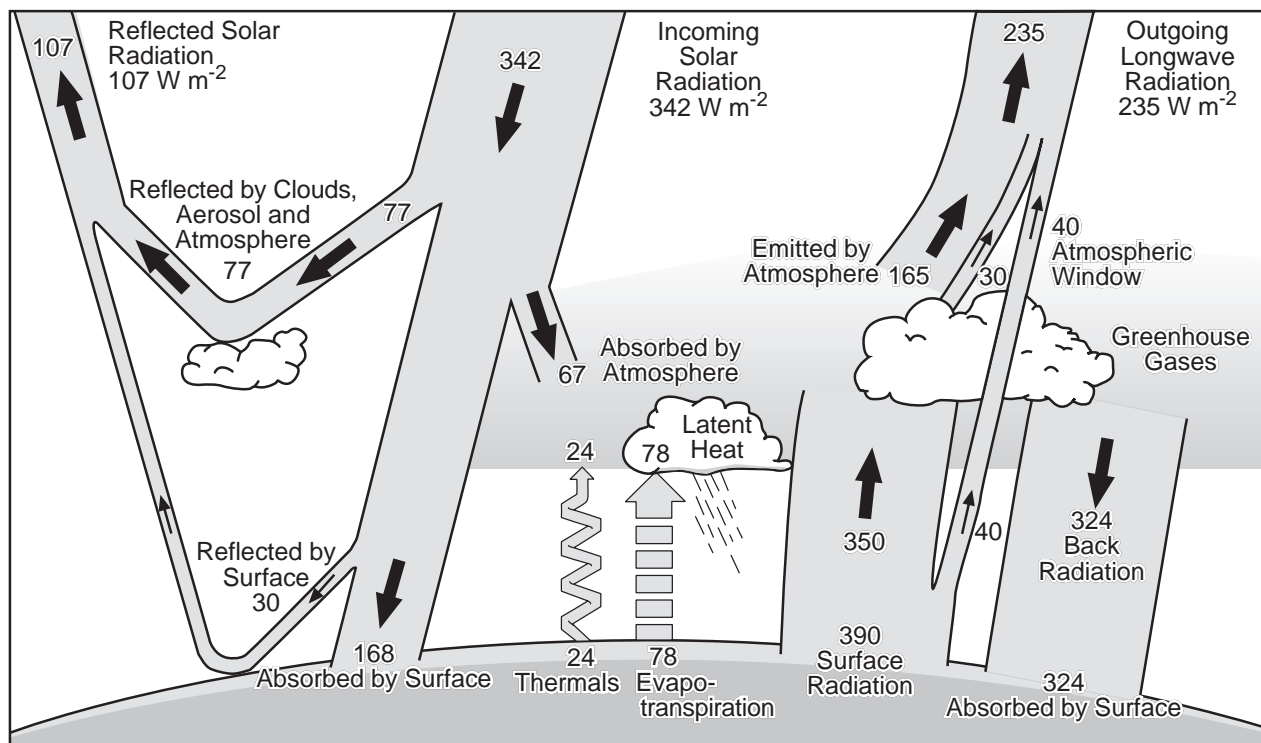


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Figures



**Figure 1.1:** Schematic view of the components of the global climate system (bold), their processes and interactions (thin arrows) and some aspects that may change (bold arrows).



**Figure 1.2:** The Earth’s annual and global mean energy balance. Of the incoming solar radiation, 49% (168 Wm<sup>-2</sup>) is absorbed by the surface. That heat is returned to the atmosphere as sensible heat, as evapotranspiration (latent heat) and as thermal infrared radiation. Most of this radiation is absorbed by the atmosphere, which in turn emits radiation both up and down. The radiation lost to space comes from cloud tops and atmospheric regions much colder than the surface. This causes a greenhouse effect. Source: Kiehl and Trenberth, 1997.